


Evaluation of Recursive Queries
Part 1: Efficient fixpoint evaluation
“Seminaïve Evaluation”



Bottom-up evaluation

❖ Naïve

Repeat

Apply all rules

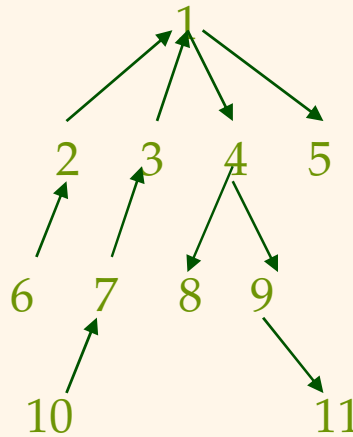
Until no new tuples generated

❖ Seminaïve

- If a rule is applied in iteration N , at least one body fact must be a fact generated in iteration $N-1$ (and not before!).
- No application is repeated.

Example

up(2,1) down(1,4)
 up(3,1) down(1,5)
 up(6,2) down(4,8)
 up(7,3) down(4,9)
 up(10,7) down(9,11)



r1: sg(X,Y) :- up(X,Z), down(Z,Y)
 r2: sg(X,Y) :- up(X,Z₁), sg(Z₁,Z₂), down(Z₂,Y)
 r3: sg(6,Y) ?

Naïve Evaluation proceed as follows:

Step(1)

sg(2,4), sg(2,5), sg(3,4), sg(3,5)

Step(2)

Iteration 1

sg(6,8), sg(6,9), sg(7,8), sg(7,9)

Iteration 2

sg(6,8), sg(6,9), sg(7,8), sg(7,9), sg(10,11)

Iteration 3

No new tuples

Seminaïve Evaluation proceed as follows:

Step(1)

sg(2,4), sg(2,5), sg(3,4), sg(3,5)

Step(2)

Iteration 1

sg(6,8), sg(6,9), sg(7,8), sg(7,9)

Iteration 2

sg(10,11)

Iteration 3

No new tuples

Notation

❖ Recursive Predicate

- $p \rightarrow^* p$

❖ Mutually recursive predicate

- $p \rightarrow^* q, q \rightarrow^* p$

❖ Strongly connected component (SCC)

- A maximal set of mutually recursive predicates.

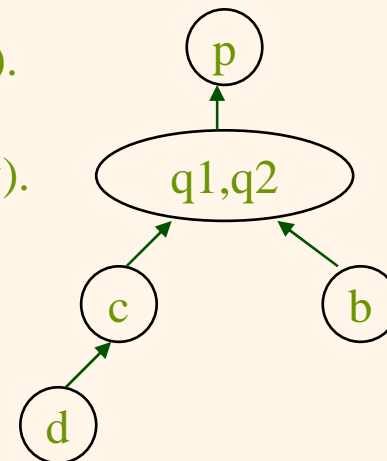
❖ Linear Rule

- Only 1 body literal is mutually recursive with head predicate.

❖ Program Graph

- Node = SCC
- ARC : The 'Depends on' relation \rightarrow

$p(x) \quad \text{:- } q1(x), q2(y).$
 $q1(x) \quad \text{:- } q2(x).$
 $q2(x) \quad \text{:- } q1(y), b(x,y).$
 $q2(x) \quad \text{:- } c(x,y).$
 $c(x,y) \quad \text{:- } d(x), d(y).$



Seminaive evaluation

❖ There are two components:

- **Rule Rewriting:** Each rule in the program is replaced by a set of rules as follows:

$$p \text{ :- } \underbrace{p_1, p_2, \dots, p_n}_{\text{recursive w.r.t. } p}, \underbrace{q_1, q_2, \dots, q_m}_{\text{base predicates}}$$

is replaced by

$$\delta p^{\text{new}}() \text{ :- } \delta p_1^{\text{old}}, p_2, \dots, p_n, q_1, q_2, \dots, q_m.$$

$$\delta p^{\text{new}}() \text{ :- } p_1^{\text{old}}, \delta p_2^{\text{old}}, \dots, p_n, q_1, q_2, \dots, q_m.$$

...

$$\delta p^{\text{new}}() \text{ :- } p_1^{\text{old}}, p_2^{\text{old}}, \dots, p_{n-1}^{\text{old}}, \delta p_n^{\text{old}}, q_1, q_2, \dots, q_m.$$

Special case: $n=0$, i.e. no recursive predicates

$$\delta p^{\text{new}}() \text{ :- } q_1, q_2, \dots, q_m.$$

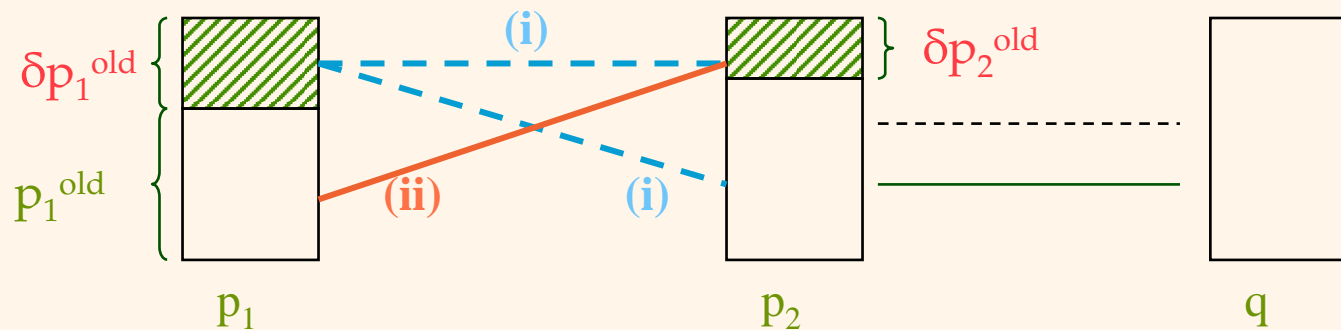
Example

$$p(x,z) \text{ :- } \underbrace{p_1(x,y), p_2(y,z)}_{\text{recursive w.r.t. } p}, \underbrace{q(z,w)}_{\text{base predicates}}$$

is replaced by

(i). $\delta p^{\text{new}}(x,z) \text{ :- } \delta p_1^{\text{old}}(x,y), p_2(y,z), q(z,w).$

(ii). $\delta p^{\text{new}}(x,z) \text{ :- } p_1^{\text{old}}(x,y), \delta p_2^{\text{old}}(y,z), q(z,w).$



Special case – ‘Linear’ rule:

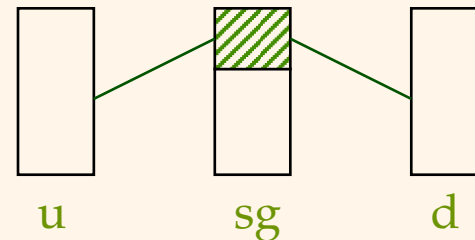
$$sg(x,z) \text{ :- } u(x,v), sg(v,w), d(w,z).$$

base

base

$$\delta sg^{\text{new}}(x,z) \text{ :- } u(x,v), \delta sg^{\text{old}}(v,w),$$

$$d(w,z).$$



Seminaïve evaluation – Part 2

❖ Rule Evaluation

- Repeatedly apply rule in ‘iterations’ until no new facts.
- Iteration 1---Use all rules
- Later iterations---Use only recursive rules

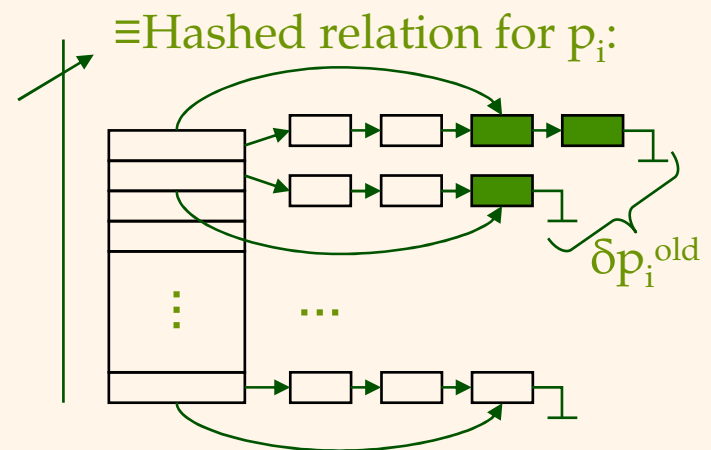
❖ In each iteration:

- Apply rules
- For each non-base predicate p , update associated relations as follows:

$$\left[\begin{array}{l} p_i^{\text{old}} = p_i^{\text{old}} \cup \delta p_i^{\text{old}} \\ \delta p_i^{\text{old}} = \delta p_i^{\text{new}} - p_i^{\text{old}} \\ p_i = p_i^{\text{old}} \cup \delta p_i^{\text{old}} \\ \delta p_i^{\text{new}} = \emptyset \end{array} \right. \xrightarrow{\text{after}} \begin{array}{c} \text{Diagram of relation update} \end{array}$$

The diagram shows a vertical rectangle representing a relation. The top portion is shaded with diagonal lines and labeled δp_i^{old} . The bottom portion is white and labeled p_i^{old} . An arrow labeled 'after' points from the equations to this diagram.

Initially: p_i , δp_i^{old} and $\delta p_i^{\text{new}} = \emptyset$



Seminaïve evaluation – Cont.

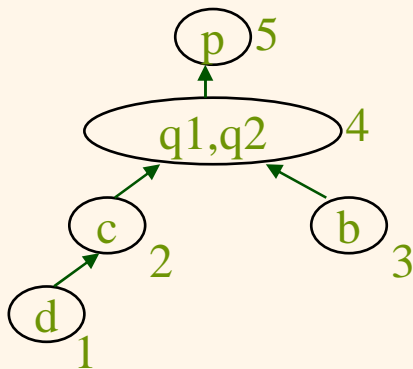
❖ Some observations

- p_i — All known p_i facts.
- δp_i^{old} — p_i facts (first) generated in previous iteration.
- δp_i^{new} — p_i facts generated in this iteration.
- p_i^{old} — $p_i - \delta p_i^{\text{old}}$ (\therefore generated before prev. iteration)

NO 'INFERENCE' is ever repeated!

❖ A refinement of rule evaluation:

- Go “node by node, bottom-up” in program graph.



Evaluation order = 1, 2, 3, 4, 5

or 3, 1, 2, 4, 5

Top-down evaluation

❖ Given:

Call: $Q(?)$

Rule: $Q \text{ IF } P_1 \wedge P_2 \dots \wedge P_n$

Generate subgoals:

$P_1(?) P_2(?) \dots P_n(?)$

❖ Advantage:

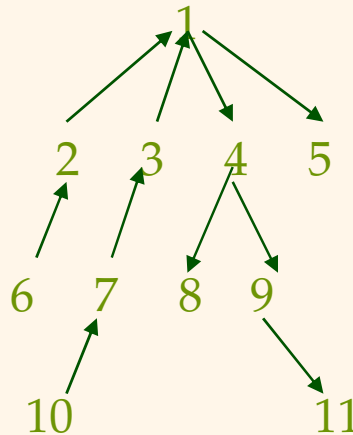
- Computation is 'focused' in response to a query.

❖ Prolog is a language implemented in such a fashion.

- Technique is called *resolution*

Example

up(2,1) down(1,4)
up(3,1) down(1,5)
up(6,2) down(4,8)
up(7,3) down(4,9)
up(10,7) down(9,11)



r1: $sg(X,Y) :- up(X,Z), down(Z,Y)$

r2: $sg(X,Y) :- up(X,Z_1), sg(Z_1,Z_2), down(Z_2,Y)$

r3: $sg(6,Y) ?$

Prolog proceed as follows:

$sg(6,y)_?$

(r1)

$up(6,Z)_?(Z=2); down(2,Y)_?$ fails;
 $up(6,Z)_?$ Fails on backtracking; (r1) fails.

(r2)

$up(6,Z_1)_?(Z_1=2);$

$sg(2,Z_2)_?$

(r1)

$up(2,Z')_?(Z'=1); down(1,Y')_?(Y'=Z_2=4);$

$sg(2,Z_2)_?$ succeeds with $Z_2 = 4;$

$down(4,Y)_?(Y=8);$

$sg(6,Y)_?$ succeeds with $Y=8$